



DISADVANTAGE BUSINESS ENTERPRISE
DISADVANTAGE BUSINESS ENTERPRISE INTENDED PARTICIPATION
AFFIDAVIT

ADOT Project No _____ Sheet _____ of _____

Directions:

1. This form must reflect the information included on the individual affidavit attachment for each DBE.
2. The form must be signed by an officer of the contractor(s).
3. The affidavits must be submitted by 4:00 p.m. on the fifth working day after bids are opened

Names of Firm	Type of Work	DBE Credit
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

(1) Total Dollar Value of Commitments _____

(2) Contract Bid Total _____

Percent of Contract Bid
(Divide Line 1 by Line 2) _____

Subscribed and sworn to
before me this _____ day of
_____, 20____

Name of Firm

Signature

Title



**DISADVANTAGE BUSINESS ENTERPRISE
DISADVANTAGE BUSINESS ENTERPRISE INTENDED PARTICIPATION
AFFIDAVIT**

ADOT Project No _____ Sheet _____ of _____

Directions:

1. An officer of the contractor(s) must sign this form.
2. A separate affidavit must be submitted for each proposed DBE.
3. All partial bid items must be fully explained. If not, the DBE will be considered to be responsible for the entire item.
4. The affidavits must be submitted by 4:00 p.m. on the fifth working day after bids are opened.

DBE Information: (Attach additional sheets as necessary.)

Names of Firm: _____

List items of work separately. Partials must be explained. (Use additional sheets in same form if necessary.)	Item Amount (not to exceed bid amount)	DBE Credit (\$)
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
Total		\$0.00

Contractor Certification:

I certify that:

- my company has accepted a proposal from the DBE named above
- my company has notified the proposed DBE of the contracted DBE commitment and this agreement is to be performed in accordance with DBE provisions of the contract.
- my company's use of the proposed DBE for the items of work listed above is a condition of the contract award.
- my company will invite the proposed DBE to attend the partnering and/or preconstruction conference
- my company is required to make sufficient reasonable efforts to (sub) contract either the same or other work to an alternative certified DBE equal to the amount to attain the DBE commitment if a certified DBE is unable or unwilling to perform for work any part of the intended
- I understand that failure to comply with the information shown on this form will be considered grounds for contract sanctions.
- I declare under penalty of perjury in the second degree, and any other applicable state or federal laws, that the statement made on this document are true and complete to the best of my knowledge.

I declare under penalty of perjury in the second degree, and any other applicable state or federal laws, that the statement made on this document are true and complete to the best of my knowledge.

Prime Contractor Name: _____ Date: _____

Officer Signature and Title: _____

CONFIRMATION OF PARTICIPATION BY DBE

ADOT Project No. _____

Sheet _____ of _____

Directions

1. This, or a similar document, must be submitted for each DBE used to meet the goal on the project, along with the DBE Intended Participation Affidavit.
2. An owner/officer/authorized party of the DBE firm must sign the form(s).
3. The form(s) must be submitted with the DBE Intended Participation Affidavit by 4 p.m. on the fifth working day after bids are opened.

I, _____, confirm that _____
(authorized party at DBE firm) (name of DBE firm)

will be participating in the above project. My company will be performing

_____ for _____
(general description of the work) (total dollar value)

(Printed name)

(Signature)

(Date)



CERTIFICATION OF PAYMENTS

TO DBE FIRMS

The undersigned prime contractor on ADOT Project No. _____ hereby, certifies that full payment was made, to the firm indicated for material and/or work performed under this project's contract as follows:

DBE _____

Firm name _____, was or will be paid \$ _____

This certificate is made under Federal and State laws concerning false statement. Supporting documentation for this payment is subject to audit and should be retained for a minimum of three years from project acceptance date. In the event the DBE was not paid in accordance with affidavits submitted by the prime contractor, all documentation supporting the contractor's position should be submitted.

I DECLARE UNDER PENALTY OF PERJURY IN THE SECOND DEGREE, AND ANY OTHER APPLICABLE STATE OR FEDERAL LAWS, THAT THE STATEMENTS MADE ON THIS DOCUMENT ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Prime Contractor

By _____

Title: _____

Date: _____

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The undersigned subcontractor/supplier/manufacture for the above named project hereby certified that payments were received and/or justification by contractor is correct.

I DECLARE UNDER PENALTY OF PERJURY IN THE SECOND DEGREE, AND ANY OTHER APPLICABLE STATE OR FEDERAL LAWS, THAT THE STATEMENTS MADE ON THIS DOCUMENT ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Subcontractor/Supplier/Manufacturer

By _____

Title: _____

Date: _____



CERTIFICATION OF PAYMENTS

TO DBE FIRMS
(DISPUTED CLAIMS)

The undersigned prime contractor on ADOT Project No. _____ hereby, certifies that payment has been made, to the firm indicated for material and/or work performed under this project's contract as follows:

DBE _____

Firm name _____, was or will be paid \$ _____

This amount is not subject to dispute.

In addition to the above sum the subcontractor/supplier claims he/she is entitled to \$ _____

This certification is made under Federal and State laws concerning false statement. Supporting documentation for this payment is subject to audit and should be retained for a minimum of three years from project acceptance date. In the event the DBE was not paid in accordance with affidavits submitted by the prime contracts, all documentation supporting the contractor's position should be submitted.

I DECLARE UNDER PENALTY OF PERJURY IN THE SECOND DEGREE, AND ANY OTHER APPLICABLE STATE OR FEDERAL LAWS, THAT THE STATEMENTS MADE ON THIS DOCUMENT ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Prime Contractor

By _____

Title: _____

Date: _____

I DECLARE UNDER PENALTY OF PERJURY IN THE SECOND DEGREE, AND ANY OTHER APPLICABLE STATE OR FEDERAL LAWS, THAT THE STATEMENTS MADE ON THIS DOCUMENT ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

SUBCONTRACTOR / SUPPLIER / MANUFACTURE

BY _____

TITLE: _____

DATE: _____